

JPW

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number

10/652,589

Filing Date

08/29/2003

First Named Inventor

Russell W. White

Art Unit

3764

Examiner Name

Unknown

Attorney Docket Number

1030-0001

ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement
(3 pp. of SB08A IDS forms
page 1)

☐

Certified Copy of Priority
Document(s)

☐

Reply to Missing Parts/
Incomplete Application

☐

Reply to Missing Parts
under 37 CFR 1.52 or 1.53

☐

Drawing(s) Replacement Sheets 1, 6

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) _____

☐ Landscape Table on CD

☐

After Allowance Communication to TC

☐

Appeal Communication to Board
of Appeals and Interferences

☐

Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☐

Other Enclosure(s) (please identify
below):

Remarks

CUSTOMER NO.: 34456

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

TOLER, LARSON & ABEL, LLP

Signature

R. W. White

Printed name

Russell W. White

Date

4/21/05

Reg. No.

45,691

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

L. H. Andre

Typed or printed name

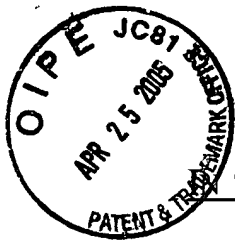
Laura H. Andre

Date

04/21/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Russell White et al.

Title: SELF-CONTAINED SYSTEM AND METHOD FOR SUBSTANCE APPLICATION

Application No.: 10/652,589

Filed: 08/29/2003

Examiner: Unknown

Group Art Unit: 3764

Atty. Docket No.: 1030-0001

Confirmation No.: 9154

COMMISSIONER FOR PATENTS
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned is providing the patents, publications, applications or other information identified in the attached:

- ☒ Form(s) PTO/SB/08A and/or PTO/SB/08B or PTO/1449
☐ Other: n/a

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

1. an admission that the information necessarily is, or corresponds to, prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described below; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Commissioner for Patents on 04/21/2005.

Laura H. Andre
Typed or Printed Name

LH Andre
Signature

Applicants believe no fee is due at this time. However, the Commissioner is hereby authorized to charge any fees due, or refund any credit to Deposit Account 50-2469 of Toler, Larson & Abel, LLP.

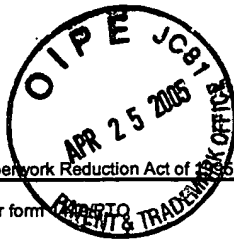
Date

4/21/05

Respectfully submitted,



Russell W. White; Reg. No. 45,691
Attorney for Applicants
TOLER, LARSON & ABEL, L.L.P.
5000 Plaza on the Lake, Suite 265
Austin, Texas 78746
(512) 327-5515 (phone)
(512) 327-5452 (fax)



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form **USPTO****INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/652,589
Filing Date	08/29/2003
First Named Inventor	Russell W. White
Art Unit	3764
Examiner Name	Unknown
Attorney Docket Number	1030-0001

Sheet 1 of 3

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A1	US- 6,837,027	01/04/2005	Timothy P. Hickey	
	A2	US- 6,811,341	11/02/2004	Richard S. Crane et al.	
	A3	US- 6,802,416	10/12/2004	Keith R. D'Alessio et al.	
	A4	US- 6,779,657	08/24/2004	Lawrence H. Mainwaring et al.	
	A5	US- 6,767,552	07/27/2004	Upvan Narang	
	A6	US- 6,746,667	06/08/2004	Ibraheem Badejo et al.	
	A7	US- 6,705,790	03/16/2004	Julian A. Quintero et al.	
	A8	US- 6,637,967	10/28/2003	John Bobo et al.	
	A9	US- 6,620,846	09/16/2003	Jerry Y. Jonn et al.	
	A10	US- 6,616,019	09/09/2003	Keith R. D'Alessio et al.	
	A11	US- 6,601,631	08/19/2003	Ibraheem Badejo et al.	
	A12	US- 6,605,667	08/12/2003	Ibraheem Badejo et al.	
	A13	US- 6,602,496	08/05/2003	Daniel L. Hedgpeth	
	A14	US- 6,595,940	07/22/2003	Keith R. D'Alessio et al.	
	A15	US- 6,592,281	07/15/2003	Jeffrey G. Clark et al.	
	A16	US- 6,585,967	07/01/2003	Upvan Narang et al.	
	A17	US- 6,579,469	06/17/2003	William S. C. Nicholson	
	A18	US- 6,565,840	05/20/2003	Jeffrey G. Clark et al.	
	A19	US- 6,547,467	04/15/2003	Julian A. Quintero et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	AA					
	BB					
	CC					
	DD					
	EE					
	FF					

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/652,589
Filing Date	08/29/2003
First Named Inventor	Russell W. White
Art Unit	3764
Examiner Name	Unknown
Attorney Docket Number	1030-0001

Sheet 2 of 3

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A20	US- 6,494,896	12/17/2002	Keith R. D'Alessio et al.	
	A21	US- 6,488,944	12/03/2002	Upvan Narang	
	A22	US- 6,478,191	11/12/2002	Keith R. D'Alessio et al.	
	A23	US- 6,455,064	09/24/2002	Upvan Narang et al.	
	A24	US- 6,439,789	08/27/2002	Jerry Ballance et al.	
	A25	US- 6,428,234	08/06/2002	John Bobo et al.	
	A26	US- 6,428,233	08/06/2002	Jeffrey G. Clark et al.	
	A27	US- 6,425,704	07/30/2002	Anthony S. Voiers	
	A28	US- 6,412,639	07/02/2002	Timothy P. Hickey	
	A29	US- 6,376,019	04/23/2002	Jeffrey C. Leung	
	A30	US- 6,372,313	04/16/2002	Keith R. D'Alessio et al.	
	A31	US- 6,340,097	01/22/2002	Keith R. D'Alessio et al.	
	A32	US- 6,322,852	11/27/2001	Jeffrey C. Leung	
	A33	US- 6,283,933	09/04/2001	Keith R. D'Alessio et al.	
	A34	US- 6,217,603	04/17/2001	Jeffrey G. Clark et al.	
	A35	US- 6,183,593	02/06/2001	Upvan Narang et al.	
	A36	US- 6,099,807	08/08/2000	Jeffrey C. Leung	
	A37	US- 6,055,828	05/05/2000	Andres Rivera	
	A38	US- 6,010,714	01/04/2000	Jeffrey C. Leung	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	AA					
	BB					
	CC					
	DD					
	EE					
	FF					

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/652,589
Filing Date	08/29/2003
First Named Inventor	Russell W. White
Art Unit	3764
Examiner Name	Unknown
Attorney Docket Number	1030-0001

Sheet 3 of 3

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A39	US- 5,981,621	11/09/1999	Jeffrey G. Clark et al.	
	A40	US- 5,928,611	07/27/1999	Leung; Jeffrey C.	
	A41	US- 6,676,322	01/13/2004	Leung; Jeffrey C.	
	A42	US-			
	A43	US-			
	A44	US-			
	A45	US-			
	A46	US-			
	A47	US-			
	A48	US-			
	A49	US-			
	A50	US-			
	A51	US-			
	A52	US-			
	A53	US-			
	A54	US-			
	A55	US-			
	A56	US-			
	A57	US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	AA					
	BB					
	CC					
	DD					
	EE					
	FF					

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.